PTO-1390 (Rev. 07-2005)

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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 020884-000014

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2005/012029 8 November 2005 9 November 2004 TITLE OF INVENTION THERMALLY REACTIVE INFRARED ABSORPTION POLYMERS AND THEIR USE IN A HEAT SENSITIVE LITHOGRAPHIC PRINTING **PLATE** APPLICANT(S) FOR DO/EO/US Peter Jonathan Bentley Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. з. 🛛 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 🖂 The US has been elected (Article 31). 5. 🛛 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. As been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office(RO/US) 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the international Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. 8. 🔲 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. 🛛 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🛛 A preliminary amendment. 14. 🔲 An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. 🔲 A power of attorney and/or change of address letter. 17. 🔲 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. 🖂 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Do NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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20. Other items or information: International Search Report and Written Opinion (ISR/WO)							
The following fees have been submitted						CALCULATIONS	PTO USE ONLY
21. Basic national fee (37 CFR 1.492(a))						\$ 300	
22. Examination fee (37 CFR 1.492(c))						\$ 200	
If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0							
All other situations\$200							ļ
23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by						\$ 400	
IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							1
International Searching Authority\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously							
communicated to the US by the IB							
TOTAL OF 21, 22 and 23 =						\$ 900	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence							
listing in compliance with 37 CFR 1.82(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).							
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Number of each additional 50 or fraction							
Total Sheets	Extra sheets				RATE		
- 100 =	/50 =		× \$250			\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						\$0	
CLAIMS	NUMBER FILED		NUMBER EXTRA	RA RATE		\$	
Total Claims	14 - 20 =		0	× \$50		\$ 0	
Independent Claims	1 - 3=		0	× \$200		\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$ 0	
TOTAL OF ABOVE CALCULATIONS =						\$ 900	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
SUBTOTAL =						\$ 900	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$ 0	
TOTAL NATIONAL FEE =						\$ 900	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$ 0	
TOTAL FEES ENCLOSED =						\$ 900	
						Amount to be refunded:	\$
						Amount to be charged:	\$

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. a. 🔲 A check in the amount of \$ \_\_\_\_ to cover the above fees is enclosed. Please charge my Deposit Account No. 13-4365 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4365. A duplicate copy of this sheet is enclosed.  $\boxtimes$ Fees are to be paid by electronic funds transfer. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Moore & Van Allen PO Box 13706 Tristan A. Fuierer 430 Davis Drive NAME Research Triangle Park, NC 27709 <u>52,926</u> USA REGISTRATION NUMBER